

F. No. W-11016/10/2022-JJM-IV-DDWS
Government of India
Ministry of Jal Shakti
Department of Drinking Water and Sanitation
National Jal Jeevan Mission

4th floor, Antyodaya Bhawan
CGO Complex, Lodhi Road,
New Delhi- 110 003

Dated: the 21st June, 2022

To
Addl. Chief Secretary/ Principal Secretary/ Secretary,
In-charge of Rural Water Supply/ PHE Department
All States/ UTs

Subject: Amendments in Operational Guidelines for the implementation of Jal Jeevan Mission
- regarding

This Department has amended the Operational Guidelines for Implementation of The Jal Jeevan Mission – Har Ghar Jal, issued in December 2019. These amendments come into force with immediate effect and are enclosed herewith for information, compliance and necessary action.

Yours faithfully,


21/06/22

(Sunil Kumar)

Under Secretary to the Govt. of India
Tele No. 011-2436 0287

Enclosed: As above

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Ministry of Jal Shakti
Department of Drinking Water and Sanitation
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4th floor, Antyodaya Bhawan
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New Delhi - 110 003

Dated: the 21st June, 2022

Amendments in Operational Guidelines for the implementation of Jal Jeevan Mission - 2019

Following amendments are being made in the Operational Guidelines for the implementation on Jal Jeevan Mission issued on December, 2019:

A. The original text of para 7.5 is substituted by the amended para 7.5 as follows:

7.5 Financial Planning

Every State has to prepare a five year State Action Plan wherein annual target of FHTCs and corresponding financial requirements will be projected. All Schemes sanctioned under JJM shall be implemented without cost and time overrun. In case of any cost escalation beyond the approved cost, it will have to be borne by the concerned State and UTs with legislature and no additional expenditure out of resources available under JJM will be permitted. The "approved cost" for this purpose shall be the cost as discovered through an open, transparent and competitive bidding process as per prevailing Rules. Resources available under the JJM can be utilized to the extent of such approved costs. However –

- (a) Wherever such "approved cost" is 10% to 25% more than the estimated cost at which the tender for a project/scheme was invited, approval by the Head of the State Level Scheme Sanctioning Committee (SLSSC, ref. para 5.2 of the Guidelines) shall be taken before award of the work;
and
- (b) Wherever such "approved cost" is over 25% more than the estimated cost at which the tender for a project/scheme was invited, approval by the Head of the Apex Committee of the State Water and Sanitation Mission(ref. para 5.2 of the Guidelines) shall be taken before award of the work.

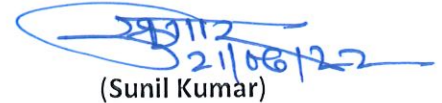
B. The original text of para 7.10 is substituted by the amended para 7.10 as follows–

7.10 Inadmissible Expenses

State Government/SWSM will finance the expenses which are not eligible to be funded under the JJM, such as cost escalation and other programme expenses which are inadmissible under JJM, from its own resources. Further, no centage will

be charged from the JJM funds. In case, such necessity arises, the same will be responsibility of the State Government/UT Administration. From Support activities, no 'salary' to regular employees will be paid and only remuneration/ honorarium to personnel/ professional/ consultant hired on contract can be paid. No expenditure on O&M viz. payment of electricity bills related to water works, etc. will be made from JJM funds. Suggestive list of inadmissible expenses under JJM is at Annex-IV.

- C. The entry "(iv) Tender premium" of Annex-IV, is deleted.
 - D. The text – "Central Share of" in the last line of para 6.2 is deleted.
2. This has approval of the competent authority.


(Sunil Kumar)

Under Secretary to the Govt. of India
Tele No. 011-2436 0287

Government of India
Ministry of Jal Shakti
Department of Drinking Water & Sanitation
National Jal Jeevan Mission

4th Floor, 'Antyodaya' Bhavan,
CGO Complex, Lodhi Road,
New Delhi - 110 003
Dated: 28th June, 2022

To

Additional Chief Secretary/ Principal Secretary/ Secretary
Chairperson, State Level Scheme Sanctioning Committee,
All States/ UTs

Subject: Clarifications on "approved costs" & proposals for the SLSSC meetings - regarding

Madam/ Sir,

This Department has issued detailed advisory on the issues to be brought for consideration of the SLSSC, vide letter No. 11011/30/2021-JJM.I dated 14.06.2021 & 31.01.2022. As you are also aware, this Department vide its letter No. F-W-11016/10/2022-JJM-IV-DDWS, dated 21.06.2022 has amended some of the provisions of the operational guidelines for the implementation of the Jal Jeevan Mission (copy enclosed).

2. As per the amended Guidelines (para 7.5), any Scheme under the JJM is now supported to the extent of the "approved cost" that is discovered after following the due process for price discovery as per the extant Rules applicable for respective states/UTs, whereas the approvals for Schemes are accorded by SLSSC on the basis of "estimated cost" based on the estimate/DPR. Accordingly, it is likely that there would be differences in the estimated and approved costs for the Schemes. Also, in view of the amendments (dated 21.06.22), it is necessary that the "approved costs" are appropriately recorded and captured in the IMIS as the expenditure under the JJM is to be benchmarked against the approved costs. Therefore, for the purpose of maintaining clarity on accounts and for avoiding unnecessary delays in approvals of Schemes, following clarifications are issued:

- i.) **Approved Costs (contract cost):** It is clarified that the approved cost for a scheme is the cost of the project discovered through an open, transparent, and competitive bidding process as per the extant Rules of the respective State/ UT Government, excluding any costs on account of Operations and Maintenance and costs of any such components resources for which are to be deployed through convergence with other schemes and programmes such as FFC grants, MGNREGA, State Government funds etc. It may be noted that expenditure for any Scheme under the JJM, shall be limited to the extent of such approved costs. It is reiterated that any additional costs beyond the approved costs, on account of time overruns or price variations shall be borne by the States/UTs from their own resources.
- ii.) The amendments in the Guidelines, dated 21.06.2022, as referred to above, are applicable to all such Schemes for which the work is awarded on or after 21.06.22.
- iii.) The amendments, dated 21.06.2022, are not applicable for the Schemes for which the work has been awarded prior to 21.06.2022. For such Schemes, the approved cost is the same as the estimated cost. It is clarified that any upward revision in the estimated cost for such schemes is not permissible as per the provisions of the JJM guidelines. Therefore,



in case such proposals for such Schemes are brought for the reconsideration of SLSSC, the support under the JJM for such Schemes shall be limited to the estimated cost as previously approved by the SLSSC or the discovered cost, whichever is less.

- iv.) For any Schemes already approved by the SLSSC, including those approved in its meetings held prior to 21.06.22, but for which the work is awarded on or after 21.06.2022, the proposals for revision in estimated costs for such Schemes, where such proposed revision in the estimated costs is due to the variation on account of revision of the Schedule of Rates (SoR), tender premium (or discount) discovered through the tendering process, re-tendering/ non-responsive tender, etc., need not be brought for revised approval of the SLSSC. Such cases are to be settled in the State as per provisions elaborated in para 'A' of the letter of even no. dated 21.06.2022.
- v.) The States/ UTs must designate the competent authority for approving the tenders where the approved cost is less than 10% higher than the estimated cost. This may be done as per the prevailing delegation of powers for approval of Schemes in the State/UT.
- vi.) Only the schemes where there is a change on estimated costs on account of change in scope of work due to any reason, must be brought for reconsideration of the SLSSC. In all such cases, full justification must be recorded in the agenda notes.
- vii.) In all such cases where the approved cost for a scheme is different than the estimated cost (previously approved by the SLSSC):
 - (a) A statement containing the list of such schemes with scheme-wise estimated and approved costs, must be placed in the next meeting of the SLSSC, for information of the SLSSC. The minutes of the meeting of the SLSSC must reflect that all such changes have been noted; and
 - (b) A summary statement of the differences in the estimated and approved costs for such Schemes must be placed in the meetings of the Apex Committee of the SWSM; and
 - (c) The Scheme-wise "approved cost" for all such schemes must be updated in the JJM-IMIS and further execution of the Scheme including the award of work and implementation processes etc., may be undertaken, as soon as the tenders are approved by the competent authority, without waiting for the next meeting of the SLSSC.

3. It is requested that the above clarifications are brought to the attention of all concerned. These provisions must be scrupulously followed and that all proposals that are posed for approval of SLSSC may be reviewed in view of the above clarifications.

Yours faithfully,



(Avinash Kumar Sinha)

Under Secretary to Govt. of India

Ph: 011- 2436 0287

Enclosed: As above

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Government of India
Ministry of Jal Shakti
Department of Drinking Water and Sanitation
National Jal Jeevan Mission

4th floor, Antyodaya Bhawan
CGO Complex, Lodhi Road,
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Dated: the 21st June, 2022

To
Addl. Chief Secretary/ Principal Secretary/ Secretary,
In-charge of Rural Water Supply/ PHE Department
All States/ UTs

Subject: Amendments in Operational Guidelines for the implementation of Jal Jeevan Mission
- regarding

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Yours faithfully,


(Sunil Kumar)

Under Secretary to the Govt. of India
Tele No. 011-2436 0287

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Dated: the 21st June, 2022

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 - D. The text – "Central Share of" in the last line of para 6.2 is deleted.
2. This has approval of the competent authority.


(Sunil Kumar)

Under Secretary to the Govt. of India
Tele No. 011-2436 0287

Most Immediate

No. W-11011/ 30/ 2021-JJM.I-DDWS
Government of India
Ministry of Jal Shakti
Department of Drinking Water & Sanitation
National Jal Jeevan Mission

4th Floor, Antyodaya Bhavan
CGO Complex, Lodhi Road,
New Delhi – 110 003

Dated 14th June, 2021

To,

Addl. Chief Secretary/ Principal Secretary/ Secretary-in-charge,
Public Health Engineering/ Rural Water Supply Department,
All States/ UTs

Subject: Agenda note for the meeting of State Level Scheme Sanctioning Committee (SLSSC) to consider schemes/ projects under Jal Jeevan Mission – reg.

Madam/ Sir,

The Operational Guidelines for the implementation of the Jal Jeevan Mission (JJM) mandates constitution/ reconstitution or continuation of the existing State Level Scheme Sanctioning Committee (SLSSC) for consideration and approval of schemes to be taken up under Jal Jeevan Mission. The SLSSC acts as a State level Committee to consider water supply schemes/ projects, and a nominee of the National Jal Jeevan Mission (NJJM), DDWS, GoI is a member of the committee.

2. As a chairperson of the aforesaid committee, you are supposed to ensure that agenda note contains all the necessary information to enable members to take such investment decision. As per the JJM guidelines, the agenda note for the SLSSC meeting should be sent to the Department/ NJJM at least 15 days in advance in order to examine the proposals, provide best techno-economic inputs for optimising cost and time so that prudent investment decision is made on water supply schemes with focus on assured service delivery during the full design period.

3. Of late, it has been observed that some States are sending the agenda note for the meeting at the last minute, before the meeting. At times, table agendas involving huge sums are also presented in the meeting. Further, forwarding only meeting notices without agenda note, not adhering to the prescribed agenda note format, which has been communicated vide Department's letter No. W-11016/ 57/ 2020-JJM.IV-DDWS dated 5.6.2020, skeletal information about the proposal for which approval is being sought, have also been observed. In these cases, NJJM, DDWS finds it extremely difficult to carry out any meaningful appraisal of the proposals due to incomplete information, thereby defeating the whole purpose.


4. The matter has been examined in the Department and it has been decided that State Governments/ UT Administrations should adhere to the guidelines while preparing the schemes for funding under Jal Jeevan Mission, and send the detailed agenda note in the prescribed format to the NJJM, at least 15 days prior to the scheduled meeting. This Department will not agree to the meeting, if complete agenda note in proper format is not received at least 7 days in advance. Further, this Department doesn't authorise its nominee to consider the table agenda. This will enable us to examine the propose agenda note/ items, and provide well considered inputs, take approval from the competent authority and authorise the NJJM/ DDWS nominee to attend the SLSSC meetings. In future, agenda for the SLSSC meeting at very short notice period will not be entertained.

Handwritten signature

Most Immediate

5. Whenever new scheme/ project in existing piped water supply villages are proposed for approval, the agenda note should invariably mention the following:
- i.) Present as well as design population;
 - ii.) Present status of existing water supply scheme (s), if any with the age of the scheme, and reasons thereof for their sub-optimal performance;
 - iii.) Investments made so far from various sources of funds and functionality status;
 - iv.) Whether Gram Sabha conducted for the new SVSs/ MVSs wherein techno-economically feasible options were presented before the local community, and they have approved it including the amount coming through community contribution in the capital cost in cash and/ or kind and/ or labour;
 - v.) Taking of the in-village infrastructure by GPs and proposed water service charges for meeting O&M;
 - vi.) Amount of funds by dovetailing resources under different schemes through convergence – contribution received/ proposed to be received from MP/ MLA LAD funds, CSR, donations and re-working out of Central and State share after accounting them;
 - vii.) Report of Source Finding Committee for SVSs and inclusion of bore-well recharge structure as part of the estimate;
 - viii.) Water allotment from concerned authority for drawl of water for bulk water transfer/ regional water supply scheme;
 - ix.) Necessary approval of the estimate and source of funds for taking up of grey-water management works in village/ GPs along with drinking water supply works; and
 - x.) Mapping of Implementation Support Agencies/ Third-party Inspection Agencies to the villages for which proposals are placed before SLSSC for approval.
6. The same kind of checklist to be made available for consideration and approval at DWSSM level also.
7. In view of this, you are requested to kindly bring the above requirements to all the concerned so that the SLSSC meeting agenda is sent to NJJM in prescribed format and well in time, which will help in optimum utilization of public funds for creation of water supply infrastructure for provision of drinking water supply to every rural household on regular and long-term basis.

Yours faithfully,


(Manoj Kumar Sahoo)
Director
Tel.: 011-2436 1064

Copy f.w.c.to: Mission Director/ Engineer-in-Chief/ Chief Engineer, Public Health Engineering/ Rural Water Supply Department (all States/ UTs) for information and necessary action.

W-11011/30/2021-JJM-I-DDWS
Government of India
Ministry of Jal Shakti
Department of Drinking Water & Sanitation
National Jal Jeevan Mission

4thFloor, 'Antyodaya' Bhavan,
CGO Complex, Lodhi Road,
New Delhi-110 003
Dated: 31 .01.2022

To,
Addl. Chief Secretary/ Pr. Secretary/ Secretary & Chairman,
State Level Scheme Sanctioning Committee (SLSSC),
Rural Water Supply/ PHE Department,
All States/ UTs

Subject: SLSSC checklist – regarding


Sir,

As you are aware, as per JJM Operational Guideline Para 5.2, the Member-Secretary will convene the SLSSC meeting for approval of schemes for sanction by SLSSC. As per Operational Guidelines of the JJM, the agenda note for SLSSC meeting should be sent to the Department/ National Mission at least 15 days in advance in order to examine the proposal. However, in most of the cases the same is not observed considering the quantum of approvals required and the time needed for consolidating and preparing the detailed SLSSC agenda at the State/ UT level.

2. As the Chairperson of the SLSSC, however, you may need to have the summarized and consolidated information of the quantum of approvals placed before the committee. To facilitate the same, a *proforma* checklist has been annexed which enables one to take a stock on the completeness of the essential aspects of the approval sought in the SLSSC meeting. In this regard this Department letter of even number dated 14.06.2021 may also be referred to (copy enclosed). It is requested to kindly widely disseminate the annexed checklist with the planning department at HQ level as well as with all the divisions under your department in the State so as to have uniformity and completeness in the approach for preparing the proposals and placing before SLSSC.

2. Being Chairman of the SLSSC, you are requested to kindly take note of the aforementioned aspect for wide circulation for ensuring optimal investment.

Yours faithfully,


21/01/2022
(Manoj Kumar Sahoo)
Director
e-mail: mksahoo.ias@gov.in

Enclosed: As above

Jal Jeevan Mission – Har Ghar Jal

SLSSC Checklist

As per JJM Operational Guideline Para 5.2, the Member-Secretary will convene the SLSSC meeting for approval of scheme which are not under purview of DWDM for sanction. The agenda note for SLSSC meeting should be sent to the Department/ National Mission at least 15 days in advance in order to examine the proposal. It is requested kindly to attach duly filled SLSSC checklist along with all supporting documents for SLSSC meeting.

State		No. of FHTC approved till previous SLSSC	
SLSSC No.		No. of new FHTC proposed in the SLSSC	
No. of Agenda Item		Balance No. of FHTC still to be approved	
Total estimate (Rs. Cr)		Total central share out of total estimate (Rs. Cr)	
		Community contribution (Rs. in lakh)	
Date of submission		Proposed date of SLSSC meeting	

S. No.	Item	Status (Yes/ No.)	Remarks (In case Answer is No , please provide justification)
1.	Whether agenda note has been prepared as per JJM standard template? (refer DDWS letter dated 14.06.2021)		
2.	Whether all proposal has been reviewed by Source Finding Committee (SFC) for availability of water in adequate quantity and prescribed quality for scheme design period? If yes, please attach water allotment letter from concerned authority and summary of SFC Report		
3.	In case of ground water source, whether Hydrogeological study has been done to ensure ground water in adequate quantity and prescribed quality for scheme design period for long term source sustainability? If yes, please attach summary of Hydrogeological study report?		
4.	Whether 100 % 'Har Ghar Jal' has been planned for the proposed villages included under the schemes? Please attach list of village, district wise along with the proposed FHTC against each agenda item.		
5.	Whether best techno-economical feasible options were presented to Gram Sabha and they have approved the proposed scheme including community contribution?		
6.	Whether proposed water services charges for O&M of in-village infrastructure has been agreed with GP through Gram Sabha resolution?		

7.	Whether existing and projected population along with water demand calculations sheet has been provided for all proposed scheme? Please attach details.		
8.	Whether existing infrastructure has been dovetailed and retrofitting scheme has been prioritised, wherever applicable?		
9.	Whether estimate in SLSSC has been proposed based on approved DPR by competent authority?		
10.	Whether DPR has been prepared based on detailed survey, site investigation and latest SoR/ BSR ? Please attach sample DPR for large MVS/ RWS scheme.		
11.	Whether provision of ground water recharge structure for long term source sustainability has been included in the proposal? (if applicable)		
12.	Whether provision of grey water management has been included in the DPR?		
13.	Whether proposed cost has been worked out in line with JJM service delivery i.e. for 55 lpcd for rural households? In case scheme is designed for more than 55 lpcd and includes urban areas, the cost has to be calculated separately for rural areas for 55 lpcd.		
14.	Whether provision of appropriate water treatment has been considered to ensure safe drinking water as per BIS:10500 ?		
15.	Whether proposed cost is only for JJM components? As per JJM Operational guidelines following items are inadmissible i) Purchase of land/ vehicle ii) Centage charges iii) Construction/renovation of buildings iv) Tender premium v) Expenditure beyond estimated/ approved cost of the scheme vi) Payment of salary to permanent staff vii) Operation and maintenance		
16.	Please include summary of scheme-wise justifications for higher per FTHC cost than those envisaged in the Operational Guidelines of JJM.		
17.	Please add any other relevant items		
